



## 4. Information About Your Current ESA

Fund Name, Ticker or Number (if applicable)	Account Number at Current Custodian
Name of Current Custodian	Contact Person or Department
Mailing Address	
City	State
Zip Code	Phone Number

## 5. Instructions To Current ESA Custodian

### A. Liquidation/Transfer Amount from Account Listed in Section 4

Check one box:

- Liquidate and transfer all assets
- Liquidate and transfer only the following percentage: \_\_\_\_\_ %
- Liquidate and transfer only the following dollar amount: \_\_\_\_\_

### B. Delivery Instructions to Current ESA Custodian

- 1) Liquidate assets from the account listed in Section 4 and **transfer in cash.**
- 2) Make check payable to: **Oakmark Funds**  
FBO Student Name
- 3) Send check to:
 

<b>Regular Mail:</b> Oakmark Funds P.O. Box 219558 Kansas City, MO 64121-9558	<b>For Overnight Delivery:</b> Oakmark Funds 330 West 9th Street Kansas City, MO 64105-1514
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## 6. Type Of ESA You Are Transferring To

Check one box:

- Transfer assets to a new Oakmark ESA. Complete and attach the **Coverdell Education Savings Account (ESA) Application & Adoption Agreement**. Skip to Section 8.
- Transfer assets to new or existing Fund(s) under my Oakmark ESA account number: \_\_\_\_\_. Complete Section 7.

## 7. Investment

Initial minimum:  
\$500 per Fund

	Investment Amount	OR	Percentage <small>Must total 100%</small>
Oakmark Fund (OAKMX) (110):	_____		%
Oakmark Select Fund (OAKLX) (808):	_____		%
Oakmark Equity & Income Fund (OAKBX) (810):	_____		%
Oakmark Global Fund (OAKGX) (1674):	_____		%
Oakmark Global Select Fund (OAKWX) (2710):	_____		%
Oakmark International Fund (OAKIX) (109):	_____		%
Oakmark International Small Cap Fund (OAKEX) (811):	_____		%
<b>OAKMARK UNITS OF:</b>			
Financial Square Treasury Solutions Fund (FVAXX) (2713):	_____		%
<b>Total Investment:</b>			%

## 7. Investment *continued*

**Fees:** If you are transferring assets to a new Fund, the below fees will be automatically deducted from your initial investment. You may include your fee payment with this form. Please make your check payable to the Oakmark Funds.

**One-Time Setup Fee:** \$5.00 per Fund account

**Annual Maintenance Fee:** \$7.00 per Fund account, maximum of \$14.00 per Social Security Number

## 8. Signature(s) *Required*

I acknowledge that I have sole responsibility for my investment choices and that I have received and read the Oakmark Funds' Prospectus and/or the Summary Prospectus and the ESA Disclosure Statement and Custodial Agreement which I have been advised to read carefully before investing.

I understand that the requirements for a valid transfer between ESAs are complex and that I have the responsibility for complying with all requirements and for the tax results of any such transfer.

I certify to the current ESA custodian that I have established (or will establish) a successor ESA meeting the requirements of Internal Revenue Code Section 530(b) to which assets will be transferred, and certify to the Oakmark Funds' ESA custodian that the ESA from which assets are being transferred meets the requirements of Internal Revenue Code Section 530(b).

\_\_\_\_\_  
Signature of Student (If Student has obtained the age of majority in his/her state of residence)

\_\_\_\_\_  
Date

If the Student is a minor under the laws of the Student's state of residence, the Responsible Individual must also sign here. Until the Student reaches the age of majority, the Responsible Individual will exercise the powers and duties of the Student.

\_\_\_\_\_  
Signature of Responsible Individual

\_\_\_\_\_  
Date

## 9. Medallion Signature Guarantee

Oakmark does not require a STAMP2000 Medallion Signature Guarantee (MSG) stamp; however, please contact your current ESA custodian to determine if they require an MSG stamp on this form.

You can obtain an MSG stamp from most commercial banks, trust companies, savings associations, credit unions and member firms of domestic stock exchanges. **A notary public cannot provide an MSG stamp.**

Place MSG Stamp Here

RETAIN A COPY OF THIS COMPLETED FORM FOR YOUR RECORDS