




## 1. Instructions

### Questions?

1-800-OAKMARK  
(625-6275)

HOURS:  
Monday - Friday  
8:00am - 6:00pm ET

Please complete this form online, then print, sign and mail it to us.

- Use this form to update account information or to change account services for your existing Oakmark account.
- The paperclip  indicates that additional documentation is required with this form.

**Regular Mail:**  
Oakmark Funds  
P.O. Box 219558  
Kansas City, MO 64121-9558

**For Overnight Delivery:**  
Oakmark Funds  
330 West 9th Street  
Kansas City, MO 64105-1514

You can also add/update  
many of these options online  
at [Oakmark.com](http://Oakmark.com)

## 2. Existing Account Information

Please see an  
account statement  
for this information.  
To make updates  
to another account  
number, please  
complete and at-  
tach another form.

Account Number

Social Security Number

Primary Phone Number

Account Owner Name(s)/Registration

## 3. Contact Information and Name Change Updates

Address and  
phone numbers  
can be updated at  
[Oakmark.com](http://Oakmark.com).

This information  
will be updated on  
all Fund accounts  
under the Social  
Security Number/  
Tax Identification  
Number provided  
in **Section 2** unless  
you specify other-  
wise.

### ☐ Update my Street Address

Street Address - We cannot update a Street Address with a P.O. Box. See below for Mailing Address.

City

State

Zip Code

### ☐ Update my Mailing Address

☐ Check here if Mailing Address is the same as Street Address above

Mailing Address - P.O. Box is acceptable

City

State

Zip Code

### ☐ Add or Update my Phone Number(s) and/or Email Address

Primary Phone Number

Secondary Phone Number

Email Address

### ☐ Change my Name due to Marriage, Divorce or Other\*

Former Name (First, Middle Initial, Last)

New Name (First, Middle Initial, Last)

\* A notary stamp  
is required. Sign  
in **Section 10** with  
your new name and  
former name.

## 4. Distribution Option

Can be updated at  
[Oakmark.com](http://Oakmark.com).

\* A medallion  
signature guarantee  
stamp is required.  
Refer to [Section 7](#).

### Select one:

- ☐ Reinvest dividends and capital gains
- ☐ Send dividends and capital gains in the form of a check to the address of record
- ☐ Send dividends and capital gains by electronic transfer to the bank account of record
- ☐ Send dividends and capital gains by electronic transfer to the bank account attached.\* Refer to [Section 7](#)

### Select one:

- ☐ Apply this change to all Funds in my account
- ☐ Apply this change only to the following Funds in my account:

\_\_\_\_\_

Fund Name(s), Ticker(s) or Number(s)

## 5. Cost Basis

Can be updated at  
[Oakmark.com](http://Oakmark.com).

To establish a  
different cost basis  
method for each  
Fund, please attach  
instructions.

Your cost basis election will be used for calculating the gain or loss on the sale of shares acquired on or after January 1, 2012.

### Select one:

- ☐ Average Cost
- ☐ High Cost First Out (HIFO)
- ☐ Low Cost First Out (LOFO)
- ☐ First In First Out (FIFO)
- ☐ Last In First Out (LIFO)
- ☐ Loss/Gain Utilization (LGUT)
- ☐ Specific Lot Identification (SLID) and secondary method\*: \_\_\_\_\_

\*You must choose a secondary method which will be used if the lots chosen for redemption, exchange or transfer are no longer available. Average Cost is not a valid secondary method. If no secondary method election is made, Oakmark will apply the Average Cost method as your primary cost basis method.

## 6. Consent for e-Delivery

Can be updated at  
[Oakmark.com](http://Oakmark.com).

You **must** provide  
your email address  
in [Section 3](#).


If you elect e-delivery, you will receive a notification to the email address provided in [Section 3](#) informing you when a document is available for viewing at [Oakmark.com](http://Oakmark.com).

You may view, change or revoke your e-delivery preferences and the email address we have on file for you at any time by logging into Oakmark's online account access system at [Oakmark.com](http://Oakmark.com) and clicking E-delivery and Email Address under the Account Profile tab.

### Document Types:

- |   |   |  |
|---|---|--|
| <input type="checkbox"/> Prospectus and Shareholder Reports | <input type="checkbox"/> Quarterly Statements | <input type="checkbox"/> Confirmations |
| <input type="checkbox"/> Proxy Materials                    | <input type="checkbox"/> Year-end Statements  | <input type="checkbox"/> Tax Forms     |

## 7. Bank Information

 You **must** attach  
a voided check  
with pre-printed  
routing and ac-  
count numbers.

This banking  
information will  
be added to all  
accounts under  
the SSN/TIN.

Complete this section if you would like to add or change banking information or you have selected options from [Sections 4, 8 and/or 9](#). We will not accept starter checks or mutual fund money market checks.

- All Oakmark account owners must sign this form in [Section 10](#) and obtain a Medallion Signature Guarantee (MSG) stamp in [Section 11](#).
- If there is no name in common between the Oakmark account owners and the bank account owners, ALL Oakmark account owners and bank account owners must sign this form in [Section 10](#) and obtain a Medallion Signature Guarantee (MSG) stamp in [Section 11](#).
- For custodial accounts, including UGMA/UTMA and ESAs, only the custodian or responsible individual is considered the Oakmark account owner.

### Select one:

- ☐ Add this new/additional bank information to my account(s).
- ☐ Replace the existing bank information on my account(s).

## 8. Automatic Investment Plan (AIP)

Can be established at [Oakmark.com](http://Oakmark.com).

To establish an AIP for a new Fund, please complete the [Add-A-Fund Form](#).

AIP allows you to purchase shares into an existing Fund account on a periodic basis automatically by electronic transfer from your bank account. Transactions will occur on the 15th of the month or the next business day, unless otherwise specified below. When choosing a month and date, please allow at least 10 business days from receipt of this form to set up the plan.

Select one:

- ☐ Add a new AIP. Complete this section and [Section 7](#) to add this option.
- ☐ Update my existing AIP

AIP 1:

Fund Name \_\_\_\_\_ Share Class \_\_\_\_\_ Amount \_\_\_\_\_

Transaction should occur on the \_\_\_\_\_ day of the month.

☐ All Months or ☐ Jan ☐ Feb ☐ Mar ☐ Apr ☐ May ☐ Jun ☐ Jul ☐ Aug ☐ Sep ☐ Oct ☐ Nov ☐ Dec

AIP 2:

Fund Name \_\_\_\_\_ Share Class \_\_\_\_\_ Amount \_\_\_\_\_

Transaction should occur on the \_\_\_\_\_ day of the month.

☐ All Months or ☐ Jan ☐ Feb ☐ Mar ☐ Apr ☐ May ☐ Jun ☐ Jul ☐ Aug ☐ Sep ☐ Oct ☐ Nov ☐ Dec

## 9. Systematic Withdrawal Plan (SWP) *Not available for IRA accounts*

Can be established at [Oakmark.com](http://Oakmark.com).

To establish an SWP for an IRA, please complete the [IRA Distribution Form](#).

SWP allows you to redeem shares to your bank account on a periodic basis automatically by electronic transfer from your Oakmark account. Transactions will occur on the 15th of the month or the next business day, unless otherwise specified below. When choosing a month and date, please allow at least 10 business days from receipt of this form to set up the plan.

Select one:

- ☐ Add a new SWP. Complete this section and [Section 7](#) to add this option.
- ☐ Update my existing SWP

Select one:

- ☐ Send the proceeds in the form of a check to the address of record
- ☐ Send the proceeds by electronic transfer to the bank account of record
- ☐ Send the proceeds by electronic transfer to the bank account attached.\* Refer to [Section 7](#)

Fund Name \_\_\_\_\_ Share Class \_\_\_\_\_ Amount \_\_\_\_\_

Transaction should occur on the \_\_\_\_\_ day of the month.

☐ All Months or ☐ Jan ☐ Feb ☐ Mar ☐ Apr ☐ May ☐ Jun ☐ Jul ☐ Aug ☐ Sep ☐ Oct ☐ Nov ☐ Dec

\* A medallion signature guarantee stamp is required. Refer to [Section 7](#).

### 10. Signature(s) *Required*

I authorize the Oakmark Funds, its affiliates and agents, to act on any instructions believed to be genuine for any services authorized on this form, including telephone options. By completing **Section 7** I hereby authorize the Fund to initiate credits and/or debits to my account indicated in **Section 7** and for the bank to honor all entries to my account. I consent to the recording of any telephone conversation(s) when I call the Funds regarding my account(s).

By supplying my banking information, I understand that telephone and internet transaction privileges will apply to my account, including electronic transfers to and from my bank account. I agree that the Funds, Harris Associates L.P., their transfer agent, or their respective agents, officers, trustees, directors or employees will not be liable for any loss, liability or expense for acting, or refusing to act, on any instructions, including any given under the telephone and internet transaction privileges, that are reasonably believed to be genuine, placing the risk of loss on me. See the discussion of these privileges in the Funds' Prospectus.

Sign below exactly as your names appear in **Sections 2 and 3**. All owners, including joint owners and trustees, excluding minors must sign.

<div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="width: 40%;"> <p><b>X</b> _____</p> <p>Signature</p> </div> <div style="width: 30%;"> <p>_____</p> <p>Title (if applicable)</p> </div> <div style="width: 30%;"> <p>_____</p> <p>Date</p> </div> </div>		
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<b>X</b>		
Signature	Title (if applicable)	Date

<b>X</b>		
Signature	Title (if applicable)	Date

<b>X</b>		
Signature	Title (if applicable)	Date

## 11. Medallion Signature Guarantee *If required*

A notary stamp is required if you are changing your name. A STAMP2000 Medallion Signature Guarantee (MSG) is required if you are adding bank information. You can obtain an MSG stamp from most commercial banks, trust companies, savings associations, credit unions and member firms of domestic stock exchanges. **A notary public cannot provide an MSG.**

MSG or Notary Stamp

MSG or Notary Stamp

MSG or Notary Stamp

MSG or Notary Stamp

**RETAIN A COPY OF THIS COMPLETED FORM FOR YOUR RECORDS**