Oakmark Funds®

Shareholder Services Form

Print Form

1. Instructions

Questions?
1-800-OAKMARK
(625-6275)

HOURS:
Monday - Friday
8:00am - 6:00pm ET

Please complete this form online, then print, sign and mail it to us.

- Use this form to update account information or to change account services for your existing Oakmark account.
- The paperclip indicates that additional documentation is required with this form.

Regular Mail:
Oakmark Funds
P.O. Box 219558
Kansas City, MO 64121-9558

For Overnight Delivery:
Oakmark Funds
330 West 9th Street
Kansas City, MO 64105-1514

You can also add/update many of these options online at **Oakmark.com**

2. Existing Account Information

Please see an account statement for this information. To make updates to another account number, please complete and attach another form.

Account Number

Social Security Number

Primary Phone Number

Account Owner Name(s)/Registration

3. Contact Information and Name Change Updates

Address and phone numbers can be updated at **Oakmark.com.**

This information will be updated on all Fund accounts under the Social Security Number/ Tax Identification Number provided in **Section 2** unless you specify otherwise.

☐ **Update my Street Address**

Street Address - We cannot update a Street Address with a P.O. Box. See below for Mailing Address.

City | State | Zip Code

☐ **Update my Mailing Address**

☐ Check here if Mailing Address is the same as Street Address above

Mailing Address - P.O. Box is acceptable

City | State | Zip Code

☐ **Add or Update my Phone Number(s) and/or Email Address**

Primary Phone Number | Secondary Phone Number | Email Address

☐ **Change my Name due to Marriage, Divorce or Other***

Former Name (First, Middle Initial, Last) | New Name (First, Middle Initial, Last)

* A notary stamp is required. Sign in **Section 10** with your new name and former name.

4. Distribution Option

Can be updated at **Oakmark.com.**

* A medallion signature guarantee stamp is required. Refer to Section 7.

Select one:

- ◯ Reinvest dividends and capital gains
- ◯ Send dividends and capital gains in the form of a check to the address of record
- ◯ Send dividends and capital gains by electronic transfer to the bank account of record
- ◯ Send dividends and capital gains by electronic transfer to the bank account attached.* Refer to Section 7

Select one:

- ◯ Apply this change to all Funds in my account
- ◯ Apply this change only to the following Funds in my account:

____
Fund Name(s), Ticker(s) or Number(s)

5. Cost Basis

Can be updated at **Oakmark.com**.

To establish a different cost basis method for each Fund, please attach instructions.

Your cost basis election will be used for calculating the gain or loss on the sale of shares acquired on or after January 1, 2012.

Select one:

- ◯ Average Cost
- ◯ High Cost First Out (HIFO)
- ◯ Low Cost First Out (LOFO)
- ◯ First In First Out (FIFO)
- ◯ Last In First Out (LIFO)
- ◯ Loss/Gain Utilization (LGUT)
- ◯ Specific Lot Identification (SLID) and secondary method*: ______________________

*You must choose a secondary method which will be used if the lots chosen for redemption, exchange or transfer are no longer available. Average Cost is not a valid secondary method. If no secondary method election is made, Oakmark will apply the Average Cost method as your primary cost basis method.

6. Consent for e-Delivery

Can be updated at **Oakmark.com**.

You **must provide** your email address in Section 3.

If you elect e-delivery, you will receive a notification to the email address provided in Section 3 informing you when a document is available for viewing at **Oakmark.com**.

You may view, change or revoke your e-delivery preferences and the email address we have on file for you at any time by logging into Oakmark's online account access system at **Oakmark.com** and clicking E-delivery and Email Address under the Account Profile tab.

Document Types:

- ☐ Prospectus and Shareholder Reports
- ☐ Quarterly Statements
- ☐ Confirmations
- ☐ Proxy Materials
- ☐ Year-end Statements
- ☐ Tax Forms

7. Bank Information

You **must** attach a voided check with pre-printed routing and account numbers.

This banking information will be added to all accounts under the SSN/TIN.

Complete this section if you would like to add or change banking information or you have selected options from Sections 4, 8 and/or 9. We will not accept starter checks or mutual fund money market checks.

- All Oakmark account owners must sign this form in Section 10 and obtain a Medallion Signature Guarantee (MSG) stamp in Section 11.
- If there is no name in common between the Oakmark account owners and the bank account owners, ALL Oakmark account owners and bank account owners must sign this form in Section 10 and obtain a Medallion Signature Guarantee (MSG) stamp in Section 11.
- For custodial accounts, including UGMA/UTMA and ESAs, only the custodian or responsible individual is considered the Oakmark account owner.

Select one:

- ◯ Add this new/additional bank information to my account(s).
- ◯ Replace the existing bank information on my account(s).

8. Automatic Investment Plan (AIP)

Can be established at **Oakmark.com**.

To establish an AIP for a new Fund, please complete the **Add-A-Fund Form**.

AIP allows you to purchase shares into an existing Fund account on a periodic basis automatically by electronic transfer from your bank account. Transactions will occur on the 15th of the month or the next business day, unless otherwise specified below. When choosing a month and date, please allow at least 10 business days from receipt of this form to set up the plan.

Select one:

◯ **Add a new AIP.** Complete this section and **Section 7** to add this option.

◯ **Update my existing AIP**

AIP 1:

Fund Name ______ Share Class ______ Amount ______

Transaction should occur on the ______ day of the month.

☐ All Months or ☐ Jan ☐ Feb ☐ Mar ☐ Apr ☐ May ☐ Jun ☐ Jul ☐ Aug ☐ Sep ☐ Oct ☐ Nov ☐ Dec

AIP 2:

Fund Name ______ Share Class ______ Amount ______

Transaction should occur on the ______ day of the month.

☐ All Months or ☐ Jan ☐ Feb ☐ Mar ☐ Apr ☐ May ☐ Jun ☐ Jul ☐ Aug ☐ Sep ☐ Oct ☐ Nov ☐ Dec

9. Systematic Withdrawal Plan (SWP) *Not available for IRA accounts*

Can be established at **Oakmark.com**.

To establish an SWP for an IRA, please complete the **IRA Distribution Form**.

SWP allows you to redeem shares to your bank account on a periodic basis automatically by electronic transfer from your Oakmark account. Transactions will occur on the 15th of the month or the next business day, unless otherwise specified below. When choosing a month and date, please allow at least 10 business days from receipt of this form to set up the plan.

Select one:

◯ **Add a new SWP.** Complete this section and **Section 7** to add this option.

◯ **Update my existing SWP**

Select one:

◯ Send the proceeds in the form of a check to the address of record

◯ Send the proceeds by electronic transfer to the bank account of record

◯ Send the proceeds by electronic transfer to the bank account attached.* Refer to **Section 7**

* A medallion signature guarantee stamp is required. Refer to **Section 7**.

Fund Name ______ Share Class ______ Amount ______

Transaction should occur on the ______ day of the month.

☐ All Months or ☐ Jan ☐ Feb ☐ Mar ☐ Apr ☐ May ☐ Jun ☐ Jul ☐ Aug ☐ Sep ☐ Oct ☐ Nov ☐ Dec

10. Signature(s) *Required*

I authorize the Oakmark Funds, its affiliates and agents, to act on any instructions believed to be genuine for any services authorized on this form, including telephone options. By completing **Section 7** I hereby authorize the Fund to initiate credits and/or debits to my account indicated in **Section 7** and for the bank to honor all entries to my account. I consent to the recording of any telephone conversation(s) when I call the Funds regarding my account(s).

By supplying my banking information, I understand that telephone and internet transaction privileges will apply to my account, including electronic transfers to and from my bank account. I agree that the Funds, Harris Associates L.P., their transfer agent, or their respective agents, officers, trustees, directors or employees will not be liable for any loss, liability or expense for acting, or refusing to act, on any instructions, including any given under the telephone and internet transaction privileges, that are reasonably believed to be genuine, placing the risk of loss on me. See the discussion of these privileges in the Funds' Prospectus.

Sign below exactly as your names appear in **Sections 2 and 3**. All owners, including joint owners and trustees, excluding minors must sign.

X ______________________________ ______________ ______________
Signature | Title (if applicable) | Date

X ______________________________ ______________ ______________
Signature | Title (if applicable) | Date

X ______________________________ ______________ ______________
Signature | Title (if applicable) | Date

X ______________________________ ______________ ______________
Signature | Title (if applicable) | Date

11. Medallion Signature Guarantee *If required*

A notary stamp is required if you are changing your name. A STAMP2000 Medallion Signature Guarantee (MSG) is required if you are adding bank information. You can obtain an MSG stamp from most commercial banks, trust companies, savings associations, credit unions and member firms of domestic stock exchanges. **A notary public cannot provide an MSG**.

MSG or Notary Stamp	MSG or Notary Stamp
MSG or Notary Stamp	MSG or Notary Stamp

RETAIN A COPY OF THIS COMPLETED FORM FOR YOUR RECORDS

Print Form